

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventors:** Akram et al.

**Patent No.:** 6,861,745 B2

**Issued:** March 1, 2005

**For:** METHOD AND APPARATUS FOR  
CONDUCTING HEAT IN A FLIP-CHIP  
ASSEMBLY

**Attorney Docket No.:** 2269-4376.1US

**VIA ELECTRONIC FILING**

**October 5, 2007**

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
FOR APPLICANTS' MISTAKES (37 C.F.R. § 1.323) AND  
PATENT OFFICE MISTAKES (37 C.F.R. § 1.322)**

Attn.: Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is noted that a combination of Applicant and Patent Office errors appear in this patent of a typographical nature or character and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. A certificate of correction in the form attached hereto is requested.

Please note that an Amendment Pursuant to 37 C.F.R. § 1.312(a) (copy enclosed) was filed concurrently with the issue fee on August 16, 2004, but the amendments contained therein were apparently not completely included before issuance of the patent. Attached is a copy of the previously filed Amendment Pursuant to 37 C.F.R. § 1.312(a) and the date-stamped postcard,

Patent No.: 6,861,745 B2

acknowledging receipt by the PTO, to provide proof of such filing. We have included subject matter of this amendment on the attached PTO/SB/44 being suitable for printing.

Please send the Certificate to:

Name: James R. Duzan  
Address: TraskBritt  
P.O. Box 2550  
Salt Lake City, Utah 84110

The Commissioner is authorized to charge \$100.00 to the TraskBritt Deposit Account No. 20-1469 for the fee as required by 37 C.F.R. § 1.20(a).

Attached hereto is Form PTO/SB/44, which is suitable for printing.

Respectfully submitted,



James R. Duzan  
Registration No. 28,393  
Attorney for Applicants  
TRASKBRITT, PC  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: October 5, 2007  
JRD/df/lh

Attachments: PTO/SB/44  
Copy of Amendment Pursuant to 37 C.F.R. § 1.312(a)  
Copy of date-stamped postcard

Document in ProLaw

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,861,745 B2  
 APPLICATION NO.: 10/055,298  
 ISSUE DATE : March 1, 2005  
 INVENTOR(S) : Saiman Akram; and Alan G. Wood

Page 1 of 3

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**In the drawings:**

In FIG. 2(a), delete "0002/7" located directly next to reference numeral 114

**In the specification:**

COLUMN 2, LINE 4, change ".16" to --16--  
 COLUMN 7, LINE 11, change "beat" to --heat--

**In the claims:**

CLAIM 3, COLUMN 9, LINE 5, change "claims 1" to --claim 1--  
 CLAIM 12, COLUMN 9, LINE 34-36, delete "12. The assembly according to claim 1, wherein said layer comprising substantially diamond includes one of polycrystalline diamond, amorphous diamond and another material." and insert  
 --12. The assembly according to claim 1, wherein said layer comprising substantially diamond includes polycrystalline diamond, amorphous diamond and another material.--

MAILING ADDRESS OF SENDER (Please do not use customer number below):

James R. Duzan  
 TRASKBRITT  
 230 South 500 East, Suite 300  
 Salt Lake City, Utah 84102 USA

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.  
**SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO : 6,861,745 B2  
APPLICATION NO.: 10/055,298  
ISSUE DATE : March 1, 2005  
INVENTOR(S) : Saiman Akram; and Alan G. Wood

Page 2 of 3

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**In the claims (cont.):**

CLAIM 58, COLUMN 12, LINE 46, change "at least on bond pad" to --at least one bond pad--  
CLAIM 60, COLUMN 12, LINE 56, change "at least on trace" to --at least one trace--

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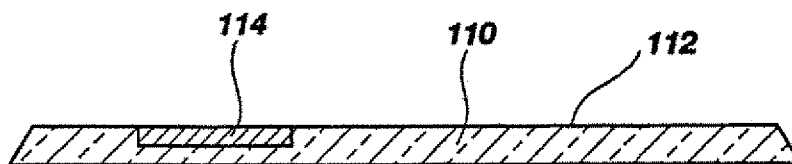
**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO : 6,861,745 B2  
APPLICATION NO.: 10/055,298  
ISSUE DATE : March 1, 2005  
INVENTOR(S) : Salman Akram; and Alan G. Wood

Page 3 of 3

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**Please replace FIG. 2(a) with the following amended figure:**



**Fig. 2(a)**

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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230 South 500 East, Suite 300  
Salt Lake City, Utah 84102 USA

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.  
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*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Akram et al.

**Serial No.:** 10/055,298

**Filed:** January 23, 2002

**For:** METHOD AND APPARATUS FOR  
CONDUCTING HEAT IN A FLIP-CHIP  
ASSEMBLY

**Confirmation No.:** 8949

**Examiner:** L. Thai

**Group Art Unit:** 2827

**Attorney Docket No.:** 2269-4376.1US  
(99-0660.01/US)

**Notice of Allowance Mailed:**

May 19, 2004

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 11, 2004  
Date

Signature

Deborah L. Hedricks  
Name (Type/Print)

**AMENDMENT PURSUANT TO 37 C.F.R. § 1.312(a)**

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Please amend the above-referenced application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 15 of this paper.

IN THE CLAIMS:

Claims 4, 9-13, 30, 35-39, 42, 44-48, 52, 57-61, 78, 83-87, 90, 92-96, 100, 105-109 and 117-136 have been canceled. Claims 1, 5 and 6 have been amended herein. All of the pending claims 1 through 136 are presented below. This listing of claims will replace all prior versions and listings of claims in the application. Please enter these claims as amended.

Listing of Claims:

1. (currently amended) A semiconductor device assembly comprising:  
a substrate having a surface, having a first passivation layer provided over at least a portion of said surface of said substrate, having a second passivation layer provided over at least a portion of said first passivation layer, having a layer comprising substantially diamond provided over at least a portion of said second passivation layer having at least one aperture therein, and having at least one contact pad having a periphery, said at least one contact pad having at least a portion thereof extending at least partially over said layer comprising substantially diamond adjacent said at least one aperture therein and having at least a portion thereof extending through said at least one aperture in said layer comprising substantially diamond connected to at least one circuit on said substrate.
2. (previously presented) The assembly according to claim 1, wherein said periphery of said at least one contact pad covers portions of said layer comprising substantially diamond adjacent said at least one aperture therein.
3. (original) The assembly according to claim 1, further comprising a conductive bump deposited on said at least one contact pad.
4. (canceled)

5. (currently amended) The assembly according to claim 1, wherein at least one of said first passivation layer and said second passivation layer has at least one trace having at least a portion thereof located on a portion of at least one of said first passivation layer and said second passivation layer to connect said substrate and said at least one contact pad.

6. (currently amended) The assembly according to claim 1, wherein said first passivation layer and said second passivation layer comprise a polyimide.

7. (previously presented) The assembly according to claim 1, wherein said layer comprising substantially diamond has a thickness of at least about 50 angstroms.

8. (previously presented) The assembly according to claim 1, wherein said layer comprising substantially diamond has a thickness of between about 50 and 2000 angstroms.

9-13. (canceled)

14. (original) The assembly according to claim 1, wherein said layer comprising substantially diamond comprises substantially polycrystalline diamond.

15. (original) The assembly according to claim 1, wherein said layer comprising substantially diamond comprises substantially amorphous diamond.

16. (original) The assembly according to claim 1, wherein said layer comprising substantially diamond comprises polycrystalline diamond and amorphous diamond.

17. (previously presented) The assembly according to claim 1, wherein said layer comprising substantially diamond includes one of polycrystalline diamond, amorphous diamond and another material.



18. (previously presented) The assembly according to claim 1, wherein said layer comprising substantially diamond includes polycrystalline diamond, amorphous diamond and another material.
19. (original) The assembly according to claim 1, wherein said substrate comprises: a semiconductor die.
20. (original) The assembly according to claim 1, wherein said substrate comprises: a bare semiconductor die.
21. (original) The assembly according to claim 1, wherein said substrate comprises: a semiconductor wafer.
22. (original) The assembly according to claim 1, wherein said substrate comprises: a portion of a semiconductor wafer.
23. (original) The assembly according to claim 1, wherein said substrate comprises: a carrier substrate.
24. (original) The assembly according to claim 1, wherein said substrate comprises: a carrier substrate for a flip-chip semiconductor device assembly.
25. (original) The assembly according to claim 1, wherein said substrate comprises: a carrier substrate having a semiconductor die attached thereto.
26. (original) The assembly according to claim 1, wherein said substrate comprises: a carrier substrate having a semiconductor die adhesively attached thereto.

27. (previously presented) A semiconductor die assembly comprising:  
a substrate having a surface, a passivation layer, said passivation layer provided over at least a portion of said surface of said substrate, a second passivation layer, said second passivation layer provided over said passivation layer, a layer including diamond having at least one aperture therein, said layer provided substantially over said second passivation layer, and at least one contact pad having at least a portion thereof extending at least partially over said layer and having a portion thereof extending at least into said at least one aperture in said layer.
28. (original) The assembly according to claim 27, wherein said at least one contact pad has substantially a periphery thereof contacting said layer.
29. (original) The assembly according to claim 27, further comprising:  
a conductive bump located on said at least one contact pad.
30. (canceled)
31. (previously presented) The assembly according to claim 27, wherein at least one of said passivation layer and said second passivation layer carries at least one trace to electrically connect said substrate and said at least one contact pad.
32. (previously presented) The assembly according to claim 27, wherein said passivation layer and said second passivation layer comprise a polyimide.
33. (original) The assembly according to claim 27, wherein said layer has a thickness of at least about 50 angstroms.

34. (previously presented) The assembly according to claim 27, wherein said layer has a thickness of between about 50 and 2000 angstroms.

35-39. (canceled)

40. (previously presented) A heat sink disposed on a substrate comprising:  
a passivation layer disposed on at least a portion of a surface of a substrate;  
a second passivation layer disposed on at least a portion of said passivation layer;  
a layer including diamond disposed on at least a portion of said second passivation layer, said layer including at least one opening therein; and  
at least one pad located on at least a portion of said surface of said substrate, said at least one pad having a portion thereof extending over at least a portion of said layer and having a portion thereof located in said at least one opening.

41. (previously presented) The heat sink according to claim 40, wherein said at least one pad has more than one portion thereof extending over said at least said portion of said layer.

42. (canceled)

43. (previously presented) The heat sink according to claim 40, wherein at least one of said passivation layer and said second passivation layer has at least one trace connecting said substrate and said at least one pad.

44-48. (canceled)

49. (previously presented) A semiconductor device assembly comprising:  
a semiconductor device having an active surface, having a passivation layer provided over at least a portion of said active surface of said semiconductor device, having a second passivation layer provided over at least a portion of said passivation layer, having a layer comprising substantially diamond provided over at least a portion of said second passivation layer having at least one aperture therein, and having at least one bond pad having a periphery located on said active surface, said at least one bond pad having at least a portion thereof extending at least partially over said layer comprising substantially diamond adjacent said at least one aperture therein and having at least a portion thereof extending at least through a portion of said at least one aperture in said layer comprising substantially diamond, said at least one bond pad connected to at least one circuit on said semiconductor device; and  
a substrate.

50. (previously presented) The assembly according to claim 49, wherein said periphery of said at least one bond pad covers portions of said layer adjacent said at least one aperture therein.

51. (original) The assembly according to claim 49, further comprising:  
a conductive bump deposited on said at least one bond pad.

52. (canceled)

53. (previously presented) The assembly according to claim 49, wherein at least one of said passivation layer and said second passivation layer has at least one trace having at least a portion thereof located on a portion of at least one of said passivation layer and said second passivation layer to connect said semiconductor device and said at least one bond pad.

54. (previously presented) The assembly according to claim 49, wherein said passivation layer and said second passivation layer comprise a polyimide.

55. (original) The assembly according to claim 49, wherein said layer comprising substantially diamond has a thickness of at least about 50 angstroms.

56. (previously presented) The assembly according to claim 49, wherein said layer comprising substantially diamond has a thickness of between about 50 and 2000 angstroms.

57-61. (canceled)

62. (original) The assembly according to claim 49, wherein said layer comprising substantially diamond comprises substantially polycrystalline diamond.

63. (original) The assembly according to claim 49, wherein said layer comprising substantially diamond comprises substantially amorphous diamond.

64. (original) The assembly according to claim 49, wherein said layer comprising substantially diamond comprises polycrystalline diamond and amorphous diamond.

65. (original) The assembly according to claim 49, wherein said layer comprising substantially diamond includes one of polycrystalline diamond, amorphous diamond, and another material.

66. (previously presented) The assembly according to claim 49, wherein said layer comprising substantially diamond includes polycrystalline diamond, amorphous diamond and another material.

67. (original) The assembly according to claim 49, wherein said semiconductor device comprises:  
a semiconductor die.

68. (original) The assembly according to claim 49, wherein said semiconductor device comprises:  
a bare semiconductor die.

69. (original) The assembly according to claim 49, wherein said semiconductor device comprises:  
a semiconductor wafer.

70. (original) The assembly according to claim 49, wherein said semiconductor device comprises:  
a portion of a semiconductor wafer.

71. (original) The assembly according to claim 49, wherein said semiconductor device comprises:  
a flip-chip semiconductor die.

72. (original) The assembly according to claim 49, wherein said substrate comprises:  
a carrier substrate for a flip-chip semiconductor device assembly.

73. (original) The assembly according to claim 49, wherein said substrate comprises:  
a carrier substrate having a semiconductor die attached thereto.

74. (original) The assembly according to claim 67, wherein said substrate comprises:  
a carrier substrate having said semiconductor die adhesively attached thereto.

75. (previously presented) A semiconductor die assembly comprising:  
a semiconductor die having an active surface, a passivation layer, said passivation layer provided substantially over a portion of said active surface of said semiconductor device, a second passivation layer, said second passivation layer provided substantially over a apportion of said passivation layer, a layer having at least one aperture therein, said layer including diamond provided substantially over a portion of said second passivation layer, and at least one bond pad having at least a portion thereof extending at least partially over said layer and having a portion thereof extending at least into said at least one aperture in said layer; and  
a substrate having said semiconductor die attached thereto.

76. (original) The assembly according to claim 75, wherein said at least one bond pad has substantially a periphery thereof contacting said layer.

77. (original) The assembly according to claim 75, further comprising:  
a conductive bump located on said at least one bond pad.

78. (canceled)

79. (previously presented) The assembly according to claim 75, wherein at least one of said passivation layer and said second passivation layer has at least one trace connecting said semiconductor die and said at least one bond pad.

80. (previously presented) The assembly according to claim 75, wherein said passivation layer and said second passivation layer comprise a polyimide.

81. (original) The assembly according to claim 75, wherein said layer has a thickness of at least about 50 angstroms.

82. (previously presented) The assembly according to claim 75, wherein said layer has a thickness of between about 50 and 2000 angstroms.

83-87. (canceled)

88. (previously presented) A heat sink disposed on a semiconductor device comprising:  
a passivation layer disposed on at least a portion of an active surface of a semiconductor device;  
a second passivation layer disposed on at least a portion of said passivation layer;  
a layer including diamond disposed on at least a portion of said second passivation layer, said layer including at least one opening therein; and  
at least one bond pad located on at least a portion of said active surface of said semiconductor device, said at least one bond pad having a portion thereof extending over at least a portion of said layer and having another portion thereof located in said at least one opening.

89. (previously presented) The heat sink according to claim 88, wherein said at least one bond pad has more than one portion thereof extending over said at least a portion of said layer.

90. (canceled)

91. (previously presented) The heat sink according to claim 88, wherein at least one of said passivation layer and said second passivation layer has at least one trace connecting said semiconductor device and said at least one bond pad.



92-96. (canceled)

97. (previously presented) A semiconductor die comprising:  
a substrate having a surface, at least one circuit located on said substrate, a passivation layer provided over at least a portion of said surface of said substrate, a second passivation layer provided over at least a portion of said passivation layer, a layer including diamond provided over at least a portion of said second passivation layer having at least one aperture therein, and having at least one contact pad having a periphery, said at least one contact pad having at least a portion thereof extending at least partially over said layer adjacent said at least one aperture therein and having at least a portion thereof extending through said at least one aperture in said layer, said at least one contact pad connected to said at least one circuit on said substrate.

98. (previously presented) The semiconductor die according to claim 97, wherein said periphery of said at least one contact pad covers portions of said layer adjacent said at least one aperture therein.

99. (original) The semiconductor die according to claim 97, further comprising:  
a conductive bump deposited on said at least one contact pad.

100. (canceled)

101. (previously presented) The semiconductor die according to claim 97, wherein at least one of said passivation layer and said second passivation layer has at least one trace having at least a portion thereof located on a portion of at least one of said passivation layer and said second passivation layer to connect said substrate and said at least one contact pad.

102. (previously presented) The semiconductor die according to claim 97, wherein said passivation layer and said second passivation layer comprise a polyimide.

103. (original) The semiconductor die according to claim 97, wherein said layer has a thickness of at least about 50 angstroms.

104. (original) The semiconductor die according to claim 97, wherein said layer has a thickness of between about 50 and 2000 angstroms.

105-109. (canceled)

110. (original) The semiconductor die according to claim 97, wherein said layer comprises substantially polycrystalline diamond.

111. (original) The semiconductor die according to claim 97, wherein said layer comprises substantially amorphous diamond.

112. (original) The semiconductor die according to claim 97, wherein said layer comprises polycrystalline diamond and amorphous diamond.

113. (previously presented) The semiconductor die according to claim 97, wherein said layer includes one of polycrystalline diamond, amorphous diamond and another material.

114. (previously presented) The semiconductor die according to claim 97, wherein said layer includes polycrystalline diamond, amorphous diamond and another material.

115. (original) The semiconductor die according to claim 97, wherein said substrate comprises:  
a semiconductor wafer.

116. (original) The semiconductor die according to claim 97, wherein said substrate comprises:  
a portion of a semiconductor wafer.

117-136. (canceled)

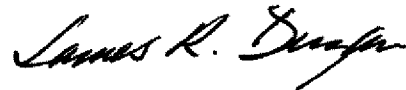
Serial No. 10/055,298

REMARKS

This amendment corrects errors in the text. Entry is respectfully solicited.

This amendment is submitted prior to or concurrently with the payment of the issue fee and, therefore, no petition or fee is required. No new matter has been added.

Respectfully submitted,



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Date: August 11, 2004  
DF/df/dlh

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THE PATENT & TRADEMARK OFFICE MAILROOM DATE STAMPED  
HEREON IS AN ACKNOWLEDGMENT THAT ON THIS DATE THE  
PATENT & TRADEMARK OFFICE RECEIVED:

Transmittal Form (1 page with duplicate copy); and Amendment in response  
to Office Action of May 6, 2003 (30 pages)

<b>Invention</b>	METHOD AND APPARATUS FOR CONDUCTING HEAT IN A FLIP-CHIP ASSEMBLY
<b>Applicants</b>	Akram et al.
<b>Filing Date</b>	January 23, 2002
<b>Serial No.</b>	10/055,298
<b>Date Sent</b>	August 6, 2003 via Express Mail, Label No. EV 348041991 US
<b>Docket No.</b>	2269-4376.1US

17858 U.S. PTO

10/636970



08/06/03

JRD/jmdjp